

RECEIVED  
CENTRAL FAX CENTER

<b>FACSIMILE TRANSMITTAL FORM</b>	Application Number	10/727072
	Filing Date	December 2, 2003
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2879
	Examiner Name	Quarterman, Kevin J.
Fax: 571-273-8300	Attorney Docket Number	59416US002
Total Number of Pages in This Submission: 5		
Date: January 3, 2006	Attorney for Applicant: Stephen C. Jensen	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amendment Transmittal	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Technology Center (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosures:
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund <input type="checkbox"/> Request for Continued Examination (RCE) Transmittal	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> After Allowance Communication to Technology Center	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR § 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts under 35 USC 371 in US Designated/ Elected Office (DO/EO/US)		
<input type="checkbox"/> Drawings		
REMARKS:		

**BEST AVAILABLE COPY**

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION  
MAY CONTAIN CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION  
INTENDED ONLY FOR THE PERSON OR ENTITY NAMED BELOW.

If you are not the intended recipient, please do not read, use, disclose, distribute or copy this transmission.  
If this transmission was received in error, please immediately notify me by telephonedirectly at 651-736-3369 or  
651-733-1500, and we will arrange for its return at no cost to you.

JAN 03 2006

32692  
Customer Number

## BEST AVAILABLE COPY

Patent  
Case No.: 59416US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.

Application No.: 10/727072 Group Art Unit: 2879

Filed: December 2, 2003 Examiner: Quarterman, Kevin J.

Title: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR LONG PASS  
REFLECTORSUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(b)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage in first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

January 3, 2006

Date

Andrea Newhouse

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

---

Application No.: 10/727072Case No.: 59416US002

---

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

29 Dec 2005

Date

By: Stephen C. Jensen

Stephen C. Jensen, Reg. No.: 35,207

Telephone No.: 651-736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

BEST AVAILABLE COPY

Substitute for form 1449A/PTO (modified)		Application Number	10/727072
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date	December 2, 2003
(Use as many sheets as necessary)		First Named Inventor	Ouderkirk, Andrew J.
Page 1 of 1		Art Unit	2879
		Examiner Name	Quartermann, Kevin J.
		Attorney Case Number	59416US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,653,765	11/26/2003	Levinson et al.	

Foreign Patent Documents					
Exam. Init.*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)		Translation (Check if yes)
	B1				

OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			Translation (Check if yes)
	C1				

**BEST AVAILABLE COPY**

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

*Information Disclosure Statement*

PAGE 4/5 \* RCVD AT 1/3/2006 4:40:29 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/35 \* DNIS:2738300 \* CSID:6517364517 \* DURATION (mm:ss):01:16

Application No.: 10/727072

Case No.: 59416US002

**DUPPLICATE**

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.\* One copy of this sheet marked duplicate is also enclosed.\*

~~~~~  
Respectfully submitted,

29 Dec 2005

Date

**DUPPLICATE**

By: Stephen C. Jensen

Stephen C. Jensen, Reg. No.: 35,207

Telephone No.: 651-736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

**DUPPLICATE****BEST AVAILABLE COPY**